Burde, D., J. Middleton, and C. Samii. 2017. Assessment of Learning Outcomes and Social Effects of Community-Based Education: A Randomized Field Experiment in Afghanistan. NYU ALSE School Management Shura (SMS) Survey. New York: Steinhardt School, New York University.

NYU ALSE SCHOOL MANAGEMENT SHURA (SMS) SURVEY			
Section I: Management			
Interview Details	Interviewer/Observer Details		
M1. Village:	M7.1 Interviewer's Name (Write		
	name below)		
M2. District:			
M2.1 Province:	M7.2 Interviewer's Name (Write		
	name below)		
M3. Date:			
M4. Interview Began:	M7.3 Observer's Name (Write		
M5. Interview Ended:	name below)		
MS. Interview Ended.			
M6. SMS Members Interviewed	E		
First Member			
M6.1.1 Member's Name			
M6.1.2 Does this member serve on the CDC? <i>(Check one box)</i>	□Yes □No		
M6.1.3 Gender (Do not ask. Check one box)	□Male □Female		
M6.1.4 Is this member the village leader?	☐ Yes ☐ No		
M6.1.5 Does this member serve on the ES?	□ Yes □ No		
M6.1.6 Did the member complete the focus group?	□ Yes □ No		
Second Member			
M6.2.1 Member's Name			
M6.2.2 Does this member serve on the CDC? (Check one box)	□Yes No □		
M6.2.3 Gender (Do not ask. Check one box)	□Male □Female		
M6.2.4 Is this member the village leader?	☐ Yes ☐ No		
M6.2.5 Does this member serve on the ES?	□ Yes □ No		
M6.2.6 Did the member complete the focus group?	□ Yes □ No		
Third Member			
M6.3.1 Member's Name			
M6.3.2 Does this member serve on the CDC? (Check one box)	□Yes No □		
M6.3.3 Gender (Do not ask. Check one box)	□Male □Female		
M6.3.4 Is this member the village leader?	□ Yes □ No		

M6.3.5 Does this member serve on the ES?	□ Yes □ No		
M6.3.6 Did the member complete the focus group?	□ Yes □ No		
Fourth Member			
M6.4.1 Member's Name			
M6.4.2 Does this member serve on the CDC? (Check one box)	□Yes No □		
M6.4.3 Gender (Do not ask. Check one box)	□Male □Female		
M6.4.4 Is this member the village leader?	□ Yes □ No		
M6.4.5 Does this member serve on the ES?	□ Yes □ No		
M6.4.6 Did the member complete the focus group?	□ Yes □ No		
Fifth Member			
M6.5.1 Member's Name			
M6.5.2 Does this member serve on the CDC? (Check one box)	□Yes No □		
M6.5.3 Gender (Do not ask. Check one box)	□Male □Female		
M6.5.4 Is this member the village leader?	□ Yes □ No		
M6.5.5 Does this member serve on the ES?	□ Yes □ No		
M6.1.6 Did the member complete the focus group?	□ Yes □ No		
Section 2: Consent			
Notes to interviewer: (1) Read the script below word by word. (2) Do not read the instructions inside the parentheses.			
Assalam o Alakum, my name is (interviewer 1) (and this is) (interviewer 2) and this (observer) We work for an independent institution and the Ministry of Education.			
We are studying community-based education classes in your village. We understand that an NGO called [CARE/CRS] has established a class in your village. We do not work for [CARE/CRS], but do some work in partnership with them.			
We were told that you are members of Community Development Council (CDC) that was formed under the National Solidarity Program. We would like to conduct a survey with you to learn more about the SMS, education and how it is managed in this village. We would like to speak with you now, and we may return to speak with you again next year. Please understand that your responses to this survey will have no effect on access to education in your village.			

Your participation may help us understand the process of delivering better education services in [BAMIYAN/DAYKUNDI/GHOR/HERAT/KAPISA/PARWAN] province. We very much appreciate your participation. Your participation is purely voluntary; you are being very generous with your time.			
Are you willing to let me tal	k to you? (Check one box)		
C.1 □Yes □No			
C.2 □Yes □No			
C.3 □Yes □No			
C.4 □Yes □No			
C.5 □Yes □No			
	Section 3: Previous Capacity Building	of SMS	
Q1. I am going to read to you a list of trainings that some of you may have attended. These trainings may also have occurred on consecutive days—for example during the same week—or they may have occurred at different times—for example, during different weeks. Have you attended training on [training title] and can you provide supporting documentation? <i>Check one box for each sub-question.</i>			
	Scoring Grid		
Score 0	Score 1	Score 1.5	Score 2
No. SMS members did not participate in this training.	Yes. SMS members, however, CANNOT provide supporting documentation.	Yes, but participation is difficult to verify from documentation provided by the CDC (or shura)	Yes. SMS can provide supporting documentation.
1.1 Strategies for Avoiding D	Propout	,	
Score: □ 0 □ 1 □ 1.5	□ 2		
1.2 Classroom Rules			
Score: □ 0 □ 1 □ 1.5	□ 2		
1.3 Flexible Response Fund: Physical Infrastructure			
Score: □ 0 □ 1 □ 1.5	□ 2		
1.4 Community mobilization approach guide			
Score: □ 0 □ 1 □ 1.5	□ 2		
1.5 TOR for school shura			

Score: □ 0	□1	□ 1.5	□ 2
1.6 Communit	ty Org	anizatio	n and Participation
Score: □ 0	□1	□ 1.5	□ 2
1.7 School Ma	nager	ment	
Score: □ 0	□1	□ 1.5	□ 2
1.8 Conflict ar	nd con	ıflict resc	lutions
Score: □ 0	□1	□ 1.5	□ 2
1.9 In-kind co	ntribu	tion che	cklist
Score: □ 0	□1	□ 1.5	□ 2
1.10 School St	udent	Associa	tion
Score: □ 0	□1	□ 1.5	□ 2
1.11 Gender			
Score: □ 0	□1	□ 1.5	□ 2
1.12 Adult Lite	racy P	rogram	
Score: □ 0	□1	□ 1.5	□ 2
1.13 Health an	ıd Hyg	jiene	
Score: □ 0	□1	□ 1.5	□ 2
1.14 Social Au	dit		
Score: □ 0	□1	□ 1.5	□ 2
1.15 Flexible R	espon	se Fund	(FRF): Small grants for attending TTCs
Score: □ 0	□1	□ 1.5	□ 2
1.16 Flexible R	espon	se Fund	(FRF): Increasing Female Teachers
Score: □ 0	□1	□ 1.5	□ 2
1.17 Flexible R	espon	se Fund	(FRF): Barriers to girls education
Score: □ 0	□1	□ 1.5	□ 2
1.18 Strategies	s for w	omen p	articipation
Score: □ 0	□1	□ 1.5	□ 2
1.19 CBE guide	elines	and poli	су
Score: □ 0	□1	□ 1.5	□ 2
			Section 4: SMS Functionality
	ne SM	S's role a	and responsibilities? Check one box.
Notes:			
Score: □0	□1	□2	

Scoring Grid			
Score 0	Score 1	Score 2	
The members could not articulate the role of SMS.	The members had an incomplete understanding of SMS's role and responsibilities. They mentioned two or three of the following responsibilities: 1. Raising awareness of education and generating demand for it; 2. Monitoring children's school attendance; 3. Monitoring classes; 4. Maintaining education facilities; 5. Mobilizing resources; and 6. Supporting learning (e.g. mobilizing young people to help children who have illiterate parents).	The members had strong knowledge of SMS's role and responsibilities. They mentioned four or more of the following responsibilities: 1. Raising awareness of education and generating demand for it; 2. Monitoring children's school attendance; 3. Monitoring classes; 4. Maintaining education facilities; 5. Mobilizing resources; and 6. Supporting learning (e.g. mobilizing young people to help children who have illiterate parents).	
O3 Have the members of t	he SMS had an official meeting in the		
□Yes No □		past tive years. (erreek erre zerin)	
	d SMS hold in the past two years? (Ch	eck one box).	
	□4 □5 □6 □More than 6 (
Q5. Did SMS members record the minutes of the meeting(s)? If yes, could SMS members provide meeting minutes for at least some meetings? (Check one box.)			
Notes:			
Score: □0 □1 □2	□3		
	Scoring Grid		
Score 0 No, SMS members did not record meeting minutes.	Score 1 Yes, SMS recorded meeting minutes, them.	however, they could not provide	

Score 2	Score 3		
Yes, SMS recorded meeting minutes, however, they could provide for only some meetings.	Yes, SMS recorded meeting minutes and could provide them for ALL meetings.		
Q6. Typically, how many SN	AS members have participated in the n	neetings? (Check one box).	
Notes:			
Score: □0 □1 □2	6 : 6:1		
Score 0	Scoring Grid Score 1	Score 2	
Less than 25% of	25%-50% of members.	More than 50% of members.	
members.	25%-50% of members.	More than 50% of members.	
	cussed in the meetings? (Check one b	0x.)	
Notes:	<u> </u>		
Score: □0 □1 □2	Scoring Crid		
The state of the s			
Score 0	Scoring Grid		
Score 0	Score 1		
Score 0 SMS members mentioned			
SMS members mentioned topics other than	SMS members mentioned only education related topics (e.g.		
SMS members mentioned	Score 1 SMS members mentioned only education related topics (e.g. classroom management, teacher		
SMS members mentioned topics other than education.	Score 1 SMS members mentioned only education related topics (e.g. classroom management, teacher performance, etc.)		
SMS members mentioned topics other than education.	Score 1 SMS members mentioned only education related topics (e.g. classroom management, teacher	luring the meetings? (Check one	
SMS members mentioned topics other than education. Q8. Have SMS members at	Score 1 SMS members mentioned only education related topics (e.g. classroom management, teacher performance, etc.)	Juring the meetings? (Check one	
SMS members mentioned topics other than education. Q8. Have SMS members at box.)	Score 1 SMS members mentioned only education related topics (e.g. classroom management, teacher performance, etc.)	uring the meetings? (Check one	
SMS members mentioned topics other than education. Q8. Have SMS members attabox.) Notes:	SMS members mentioned only education related topics (e.g. classroom management, teacher performance, etc.) tempted to address the issues raised described to address the issues raised to	luring the meetings? (Check one	
SMS members mentioned topics other than education. Q8. Have SMS members att box.) Notes:	Score 1 SMS members mentioned only education related topics (e.g. classroom management, teacher performance, etc.) tempted to address the issues raised of the control of		
SMS members mentioned topics other than education. Q8. Have SMS members att box.) Notes:	SMS members mentioned only education related topics (e.g. classroom management, teacher performance, etc.) tempted to address the issues raised described to address the issues raised to		
SMS members mentioned topics other than education. Q8. Have SMS members att box.) Notes:	Score 1 SMS members mentioned only education related topics (e.g. classroom management, teacher performance, etc.) tempted to address the issues raised of the control of		

Q10. Who is involved in the SMS's decision-making process? Is it the head of SMS, all SMS			
members, or SMS members in consultation with the community members? (Check one box.)			
☐ Head of SMS ☐ All SMS members ☐ SMS members with community members			
Section 5: Linkages			
Q11. Did the SMS members visit district governors or district departments? (Check one box)			
□Yes (Go to Q12) No □(Skip to Q14)			
Q12. How many times did the SMS members visit district governors or district departments in the			
past two years? (Check one box)			
$\square 0$ $\square 1$ $\square 2$ $\square 3$ $\square 4$ $\square 5$ $\square 6$ \square More than 6 (Specify:)			
Q13. Were the SMS members able to attract any government resources to the community as a result			
of linkages with district authorities? (Check one box)			
□Yes □No			
Q14. Did the SMS members visit provincial governors or provincial departments? (Check one box)			
□Yes (Go to Q13) No □(Skip to Q17)			
Q15. How many times did the SMS members visit provincial governors or provincial departments in			
the past two years? (Check one box)			
$\square 0$ $\square 1$ $\square 2$ $\square 3$ $\square 4$ $\square 5$ $\square 6$ \square More than 6 (Specify:)			
Q16. Were the SMS members able to attract any government resources to the community as a result			
of linkages with provincial authorities? (Check one box)			
□Yes □ No			
Q17. Did the SMS members visit any philanthropic organizations (e.g. foundation, NGO, etc.)?			
(Check one box)			
□Yes (Go to Q18) No □(Skip to Q20)			
Q18. How many times did the SMS visit philanthropic organization in the past two years? (Check one			
box) $\Box 0 \Box 1 \Box 2 \Box 3 \Box 4 \Box 5 \Box 6 \Box More than 6 (Specify:)$			
Q19. Were the SMS members able to attract any resources to the community as a result of these			
visits? (Check one box)			
□Yes □ No			
Q20. Did the SMS members seek financial support from any other individual or organization for an			
education related initiative? (Check one box)			
□Yes (Specify:) (Go to Q21) □ No (Skip to Q23)			
O21 How many times did the SMS members meet with this individual/organization? (Chack and			
Q21. How many times did the SMS members meet with this individual/organization? (Check one box)			

$\square 0$ $\square 1$ $\square 2$ $\square 3$ $\square 4$ $\square 5$ $\square 6$ \square More than 6 (Specify:)			
Q22. Were the SMS members are able to attract any resources to the community as a result of these			
visits? (Check one box) □Yes No □			
Q23. Did the SMS members meet with CDC members in the past year? (Check one box)			
□Yes (Go to Q24) No □(Skip to Q25)			
Q24. How many times did the SMS members meet with CDC members? (Check one box)			
$\square 0$ $\square 1$ $\square 2$ $\square 3$ $\square 4$ $\square 5$ $\square 6$ \square More than 6 (Specify:)			
Q25. Did the SMS members meet with the Education Subcommittee (ES) members in the past year? (Check one box)			
□Yes (Go to Q26) □No (Skip to Q27)			
Q26. How many times did the SMS members meet with the Education Subcommittee members? (Check one box)			
$\square 0$ $\square 1$ $\square 2$ $\square 3$ $\square 4$ $\square 5$ $\square 6$ \square More than 6 (Specify:)			
Section 6: Management Capacity Assessment			
Q27. Performance Management and Tracking			
a) Do the SMS members regularly document what is happening in their primary school classrooms and CBE classes? If yes, can the SMS members provide supporting documentation? (e.g. observation notes)			
b) Does the SMS set specific criteria to understand how well the teachers and students are			
performing?			
c) What are examples of these criteria?			
d) How frequently do the SMS members check on how well the students and teachers are performing?			
e) Who do SMS members inform about their assessment of the primary school and/or CBE class(es)?			
γ			
Notes:			
Score: □1 □2 □ 3 □ 4 □5			
Scoring Grid			
Score 1 Score 3 Score 5			

The SMS members monitor the classrooms on ad hoc basis. They do not document these visits. They have not standardized criteria that they look for in monitoring. They do not inform anyone about the results of these visits.

The SMS members monitor the classroom/primary school on monthly basis and have notes from all their visits. However, they have not standardized the criteria they look for in monitoring the class/school. The SMS members inform some relevant third-parties (e.g. CDC, DED, community, etc.) about their assessment but not all of them

The SMS members monitor the classrooms on monthly basis, including standardized criteria of performance (e.g. # of children attending the class) and they collect this information on monthly basis. The SMS members inform all relevant third-parties (e.g. CDC, DED, community, etc.) about their assessment.

Q28. Performance Review

Notes:

- a) How often do SMS members meet to review and discuss the primary school's or CBE class's performance, including how well the teachers and students are performing?
- b) Who is involved in these meetings?
- c) How are the SMS meetings structured? Tell me about your most recent meeting.
- d) Do you develop plans for following up on the primary school's or CBE class's performance during those meetings? If so, what sort of follow up plan do SMS members leave these meetings with?

Score: □1 \square 2 \square 3 \Box 4 $\Box 5$ Scoring Grid Score 3 Score 5 Score 1 SMS members SMS members meet monthly to SMS members meet monthly to review and discuss the school's or review and discuss the school's infrequently meet to CBE class's performance. The and/or CBE class's performance. review and discuss the school's or CBE class's objectives of these meetings are The objectives of these meetings performance. When they clear to all participating and an are clear to all participating and do meet, they do not agenda is present. However, the an agenda is present. The conversation does not, as a matter have a clear agenda. performance conversation focus of course, uncover the root of the on problem solving and problems. For example, the SMS addressing root causes of those does not figure out why the teacher problems. The follow-up steps is persistently absent from the are clear to all present at the classroom. They do not use these meeting.

	meetings to develop improvement plans.		
 Q29. Problem Solving a) How does the SMS identify or learn about the problems that it needs to fix in the primary school or CBE class? b) Do the meetings where you discuss primary school and CBE class performance help you solve the problems? c) What steps does the SMS take to fix the problem(s)? d) Who is involved in deciding what to do to resolve these problems? e) Can any SMS member suggest an improvement plan? 			
Notes: Score: □1 □2 □ 3 □ 4 □5			
Score 1	Scoring Grid Score 3	Score 5	
The SMS members do not recall ever identifying a problem OR the SMS members do recall identifying a problem for which they did NOT institute an improvement plan.	The SMS members expose problems either by monitoring the primary school or CBE class or through discussions with teachers and/or parents. They often institute improvements plans for problems they identify. However, this is an ad-hoc practice.	The SMS members expose problem either by monitoring the primary school or CBE class or through discussions with teachers and/or parents. They regularly monitor the teacher attendance, classroom management, and student attendance. In consultation with others, they develop and implement structured and concrete plans for addressing any problems that they find.	

a) Has the SMS ever developed and agreed on a follow-up plan to address a problem with how the primary school or CBE class was functioning, but that plan was not carried out? If so, tell me what happened. If not, tell me what you think would happen if a plan was not carried out. b) How much time typically lapses between when a problem is identified to when it is solved? Can the SMS members give a recent example? c) What do SMS members do if a problem continues to persist, despite attempts to solve it?			
Score: □1 □2 □ 3	□ 4 □ 5		
Notes:			
	Scoring Grid		
Score 1	Score 3	Score 5	
There are no consequences if SMS member(s) fail to implement a follow-up plan.	The SMS tolerates no follow-up action for a period. However, the SMS members revisit the problem and revise the follow-up action if necessary.	If the SMS member(s) fail to implement a follow-up action plan, then they are replaced. The SMS elects other members to carry out a corrective action plan. The follow up action plan (e.g. replacing a teacher) is implemented shortly after it has been designed. The SMS members could give a precise example that supports this description.	
 Q31. Target Balance a) What are some of the goals that the SMS has set for improving the educational situation in the primary school or CBE class? b) Which of these goals has the SMS decided to try to achieve first? How did the SMS decide to focus on these goals? c) Which members are responsible for taking actions to achieve these goals? Does anyone make sure that these individuals do what they are supposed to do to achieve these goals? d) Does the CDC, government, or anyone outside of the SMS play a role in setting these goals? 			
Score: 1			

Scoring Grid		
Score 1	Score 3	Score 5
The SMS has goals	The SMS and an external institution	The SMS sets goals for itself that
imposed by another	(e.g. the MoE) sets goals for SMS	are beyond its mandate (e.g. the
institution (e.g. the MoE).	(e.g. the SMS members are to	SMS sets a goal to independently
The SMS does not set	increase the number of classroom	fundraise money to refurbish a
internal goals (e.g.	visits). Typically, only one SMS	classroom) which is set by an
increasing the frequency	member makes an effort to achieve	external institution. All SMS
of classroom visits). The	the goals. There are no	members make an effort to
SMS members are not	consequences if the goals are not	achieve their target goals and
held accountable if they	achieved.	hold each other accountable.
fail to reach the goals.	ata IC a ala	
a) Does the SMS set timelines or deadlines for achieving the goals you've mentioned above? b) How does the SMS decide on the timeline for achieving its goals? c) Can you give me an example of a goal for which the SMS decided on both short term and long term achievements that would help you reach that goal? By short-term achievements, we mean something that the SMS wants to accomplish within next week to six months. By long-term achievements, we mean something that SMS wants to accomplish more than six months from now. Notes:		
Score: □1 □2 □ 3	□ 4 □ 5 Scoring Grid	
Score 1	Score 3	Score 5

The SMS exclusively focuses on short-term goals (e.g. procuring windows for classroom refurbishment).

The SMS has short and long-term goals related to primary school and/or CBE class as well as its own institution. The short-term and long-term goals, however, are not necessarily linked to each other. For example, the SMS members' short term goal is to visit the DED to advocate for hiring another teacher. The SMS's long-term goal, however, is to mobilize enough resources to upgrade the CBE class to a primary school.

The SMS has specific short term goals that feed into its long term goals. For example, the shortterm goal is to visit the DED to advocate for the continuation of CBE class in the village. If the DED is unsupportive, the SMS makes its long-term goal to raise the issue with the PED or central MoE. The SMS members prioritize goals.

Q32. Targets are stretching

- a) Does the SMS think that the goals that it has set are difficult to achieve? We are asking about goals and NOT activities. Activities describe how CDC members spend their time, whereas goals are the results the SMS members seek.
- b) On average, how often would you say that the SMS attain achievements that help them meet their goals?

c) Does the SMS think that any of its goals are very easy to accomplish (will always be met) or too			
difficult to accomplish (will never be met)?			
Notes:			
Score: □1 □2 □ 3	□ 4 □ 5		
Scoring Grid			
Score 1	Score 3	Score 5	

The goals are either too easy or impossible to achieve. An example of too easy goal: visit all classrooms once per year. Example of a goal impossible to reach: mobilize enough resources and construct another school building within 3 months. The SMS members could not recall the last time they achieved a goal.

The SMS sets aggressive goals that are achievable. However, not all SMS members are held to the same rigorous standards to meet these goals. For example, the SMS sets a goal to make home visits to parents who do not send their children to school. However, only one SMS member does the home visits.

The SMS sets aggressive goals that are achievable and all members are held to the same standards to achieve these goals.